

AML & CFT Methodology 2024
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Registered Address: 128 City Road, London, EC1V 2NX, United Kingdom

Contact Email: compliance@optimamarket.vip

Purpose

This document defines the Anti-Money Laundering (AML) and Counter-Terrorist Financing (CFT) methodology applied by OPTIMAMARKET in assessing, monitoring, and mitigating financial crime risks.

Risk-Based Methodology

OPTIMAMARKET applies a risk-based approach that evaluates customers, transactions, jurisdictions, and delivery channels to determine the level of AML and CFT risk.

Customer Risk Assessment

Customers are assessed using KYC and KYB procedures, beneficial ownership checks, and risk scoring models.

Transaction Monitoring

Automated systems continuously monitor transactions to identify unusual or suspicious patterns.

Sanctions, PEP & Adverse Media Screening

All customers and counterparties are screened against sanctions lists, PEP databases, and adverse media sources.

Suspicious Activity Reporting

Identified suspicious activities are escalated through defined reporting channels and documented accordingly.

Record Keeping

All AML and CFT records are retained in line with regulatory requirements.

Review and Updates

This methodology is reviewed annually and updated to reflect emerging risks and regulatory changes.

Conclusion

OPTIMAMARKET remains committed to effective AML and CFT risk management.

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